

BAKER BOTTS LLP

## FEE TRANSMITTAL

for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180

## Complete if Known

Application Number 09/918,872  
 Filing Date July 31, 2001  
 First Named Inventor Schaefer  
 Examiner Name Smith, Tyrone W.  
 Art Unit 2837  
 Attorney Docket No. A34408

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:
 Deposit  
 Account  
 Number  
 Deposit  
 Account  
 Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee required under 37CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent		- 20 =	0	X		=	0
Multiple Dependent		- 3 =	0	X		=	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180

## SUBMITTED BY

Name (Print/Type)

Bradley R. Geist

Registration No.  
(Attorney/Agent)

27,551

(Complete if applicable)

Telephone 212-408-2500

Signature

Date

December 17, 2003



A34408 (071308.0178)

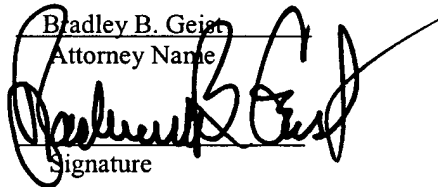
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Schaefers et al.  
Serial No. : 09/918,872 Examiner: Smith, Tyrone W.  
Filed : July 31, 2001 Group Art Unit: 2837  
For : PHASE-SAVING LOWPASS FILTERS FOR DRIVE  
CONTROL IN CONJUNCTION WITH A HIGH  
LEVEL OF CONTROL DYNAMICS

THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Alexandria, VA 22313-1450

December 17, 2003  
Date of Deposit

Bradley B. Geist  
Attorney Name  
  
Signature

27,551  
PTO Registration No.

December 17, 2003  
Date of Signature

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Sir:

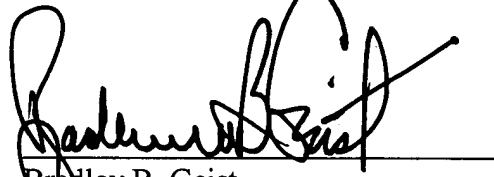
Pursuant to the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants  
respectfully request that the additional reference relating to the above-mentioned  
application listed herein be made of record in the U.S. Patent and Trademark Office. A  
copy of the reference and the PTO Form 1449 listing the attached document is enclosed.  
The documents were mentioned in the procedure of the German Patent Office.

NY02:439477.1

Identification of the listed references in the PTO 1449 is not to be construed as an admission of the Applicant(s) or the attorneys of the Applicant(s) that such references are available as "prior art" against the subject application.

This statement is being filed under §1.97(c), with a fee of \$180, prior to either a final action or a notice of allowance. The Commissioner is hereby authorized to charge the payment of any additional fees required in association with this communication to Deposit Account No. 02-4377. A check for the fee and a duplicate copy of this statement is enclosed.

Respectfully submitted

A handwritten signature in black ink, appearing to read 'Bradley B. Geist', is written over a horizontal line.

Bradley B. Geist  
Patent Office Reg. No. 27,551

Attorney for Applicants  
(212) 408-2562

Enclosures

Serial No.  
09/918,872

Applicant  
Schaefers et al.

Filing Date  
July 31, 2001

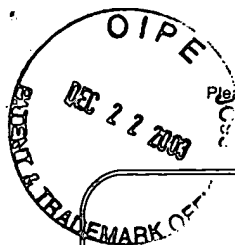
Group  
2837

[illegible][illegible][illegible]

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

92  
BAKER BOTTS LLP



Please type a plus sign (+) inside this box →

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/918,872
Filing Date	July 31, 2001
First Named Inventor	Schaefer
Group Art Unit	2837
Examiner Name	Smith, Tyrone W.
Attorney Docket Number	A34408

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO 1449 w/copy of reference |
|--|---|---|

Remarks ☐

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

BakerBotts LLP  
30 Rockefeller Plaza  
New York, NY 10112

Signature

Att Name: Bradley B. Geist  
PTO Reg: 27,551

Date

December 17, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: December 17, 2003

Typed or printed name

Bradley B. Geist

Signature

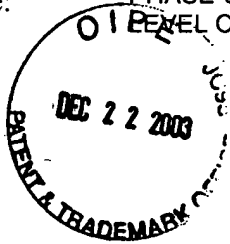
Date December 17, 2003

**BAKER BOTTS LLP**

Attorney Docket Number: A34408

Title:

PHASE-SAVING LOWPASS FILTERS FOR DRIVE CONTROL IN CONJUNCTION WITH A HIGH  
LEVEL OF CONTROL DYNAMICS



Use Space Below for Additional Information: